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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 3

**Complete if Known**

Application Number	Not Yet Assigned ID 10145304
Filing Date	Herewith
First Named Inventor	Dean A. Schaefer, et al
Group Art Unit	3739 (Parent Appl)
Examiner Name	Michael Peffley (Parent Appl)
Attorney Docket Number	2024728-7031453001 (00-011US2)

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
MP	1.	5,672,173		Gough et al.	09-30-1997	
	2.	5,672,174		Gough et al.	09-30-1997	
	3.	5,728,143		Gough et al.	03-17-1998	
	4.	5,741,225		Lax et al.	04-21-1998	
	5.	5,782,827		Gough et al.	07-21-1998	
	6.	5,800,484		Gough et al.	09-01-1998	
	7.	5,810,804		Gough et al.	09-22-1998	
	8.	5,827,278		LeVeen et al.	10-27-1998	
	9.	5,855,576		LeVeen et al.	01-05-1999	
	10.	5,863,290		Gough et al.	01-26-1999	
	11.	5,868,740		LeVeen et al.	02-09-1999	
	12.	5,913,855		Gough et al.	06-22-1999	
	13.	5,925,042		Gough et al.	07-20-1999	
	14.	5,928,229		Gough et al.	07-27-1999	
	15.	5,935,123		Edwards et al.	08-10-1999	
	16.	5,951,547		Gough et al.	09-14-1999	
	17.	5,954,717		Behl et al.	09-21-1999	
	18.	5,980,517		Gough et al.	11-09-1999	
	19.	6,041,260		Stem et al.	03-21-2000	
	20.	6,050,992		Nichols	04-18-2000	
	21.	6,053,937		Edwards et al.	04-25-2000	
	22.	6,059,780		Gough et al.	05-09-2000	
	23.	6,071,280		Edwards et al.	06-06-2000	
	24.	6,077,261		Behl et al.	06-20-2000	
	25.	6,080,149		Huang et al.	06-27-2000	
	26.	6,080,150		Gough et al.	06-27-2000	
MP	27.	6,090,105		Zepeda et al.	07-18-2000	
	28.	6,235,023		Lee et al.	05-22-2001	

Examiner Signature

M. Peffley

Date Considered

5/11/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

2

of 3

Application Number

Not Yet Assigned 10/16/04, 524

Filing Date

Herewith

First Named Inventor

Dean A. Schaefer, et al

Group Art Unit

3739 (Parent Appl)

Examiner Name

Michael Peffley (Parent Appl)

Attorney Docket Number

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### FOREIGN PATENT DOCUMENTS

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

### OTHER DOCUMENTS -- NON PATENT LITERATURE

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
M P	BB	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 1. Abstract: Soulen, et al., "Oral Presentation at the SCVIR," March 20-15, 1999, in <u>Radiofrequency Energy: Clinical Applications</u> , Radio Therapeutics Corporation	
	BC	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 2. Abstract: Bauer, et al., "Radiofrequency Ablation Therapy for Large, Complex Hepatic Metastases," 52 <sup>nd</sup> Annual Mtg. Of Society of Surgical Oncologists, March 4-7, 1999, in <u>Radiofrequency Energy: Clinical Applications</u> , Radio Therapeutics Corporation	
	BD	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 3. Abstract: Birdwell, et al., "Preliminary Experience with Intraoperative Radiofrequency Breast Tumor Ablation," 84 <sup>th</sup> Annual Mtg. Of the Radiology Society of North America, November 29-December 4, 1998 in <u>Radiofrequency Energy: Clinical Applications</u> , Radio Therapeutics Corporation	
	BE	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 4. Abstract: Curley, et al., "Freeze or Fry: A Comparison of Cryoablation and Radiofrequency Ablation for Malignant Liver Tumors," 49 <sup>th</sup> Annual Mtg. Of the American Association for the Study of Liver Diseases, 11-11-10, 1998 in <u>Radiofrequency Energy: Clinical Applications</u> , Radio Therapeutics Corporation	
	BF	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 5. Abstract: Gantert et al., "Radiofrequency Ablation of Malignant Liver Tumors: Development of a New Multi-Array Electrode and Results of the First Human Treat-and-Resect Trials," 51 <sup>st</sup> Annual Mtg. Of the Society of Surgical Oncologists, 3-28-3-29, 1998 in <u>Radiofrequency Energy: Clinical Applications</u> , Radio Therapeutics Corporation	
M P	BG	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF 2000™ Generator and the LeVeen™ Needle Electrode" 6. Abstract: Gandhi et al., "MR-Guided Radio Frequency Ablation of Breast Tissue Preliminary Results in a Mixed Fat-Water Tissue Phantom," 23 <sup>rd</sup> Annual Mtg. Of the Society of Cardiovascular & Interventional Radiologists, 2-28-3-5-1998	

Examiner Signature	M. Peffley	Date Considered	5/11/05
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Substitute for form 1449A/PTO			Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>			Application Number	Not Yet Assigned 10164,524
			Filing Date	Herewith
			First Named Inventor	Dean A. Schaefer, et al
			Group Art Unit	3739 (Parent Appl)
			Examiner Name	Michael Peffley (Parent Appl)
Sheet 3 of 3			Attorney Docket Number	2024728-7031453001 (00-011US2)

MP	BH	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF2000™ Generator and the LeVeen™ Needle Electrode" 7. Abstract: LeVeen et al., "Large RF Ablation Lesion Produced with a Radially Expanding Monopolar Array Electrode: Long-term Porcine Experiments," 22 <sup>nd</sup> Annual Mtg. Of Society of Cardiovascular & Interventional Radiology, 03-8-13, 1997	
	BI	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF2000™ Generator and the LeVeen™ Needle Electrode" 8. Abstract: LeVeen et al., "Large Volume Porcine Liver Ablation with Use of a Percutaneous Expandable Electrosurgical Probe," 21 <sup>st</sup> Annual Mtg. Of Society of Cardiovascular & Interventional Radiology, 03-27-3-7, 1996	
	BJ	Radiotherapeutics Corporation, "Radiofrequency Energy: Clinical Applications – Previously presented data from experiences with the RF2000™ Generator and the LeVeen™ Needle Electrode" 9. Curley, "Case Study: Radiofrequency-induced necrosis of liver tissue - Nectoris zones 1 and 6 months after the procedure."	
	BK	Curley, "Radiofrequency Ablation of Unresectable Primary and Metastatic Hepatic Malignancies", Analys of Surgery, pp 1-8: 130(1), 1999	
	BL	Lawson, "Radiofrequency-induced Necrosis in Soft Tissues, A review of Deployments in Animals and Humans,"	
	BM	Lawson, "Radiofrequency-induced Necrosis in Soft Tissues, A Result from Animal and Human Deployments," Radio Therapeutics Corporation	
↓	BN	LeVeen, "Laser Hyperthermia and Radiofrequency Ablation of Hepatic Lesions," Seminars in Interventional Radiology, pp 313-324: 14 (3), 1997	
MP	BO	<u>RTC Training Manual, Chapters 1-9</u>	

Examiner Signature	M. Peffley	Date Considered	5/11/05
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